

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: T. KOBAYASHI

Application No.: Rule 1.53(b) Continuation of U.S. Patent Application  
Serial No. 09/811,444, filed March 20, 2001

Filed: On even date herewith

For: NONVOLATILE SEMICONDUCTOR MEMORY DEVICE  
WITH IMPROVED GATE OXIDE FILM ARRANGEMENT  
(As Amended)

Art Group of Parent: 2826

Examiner of Parent: K. Quinto

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.97 & 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

October 15, 2003

Sir:

In the matter of the above-identified application, this Information Disclosure Statement is being submitted with the following citation as specified in 37 CFR §1.97(d).

"A copy of any patent, publication or other information listed in an Information Disclosure Statement is not required to be provided if it was previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. §120."

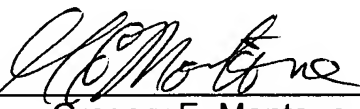
Applicants are submitting herewith a copy of Form PTO/SB/08A which list documents cited in parent applications Serial No. 09/811,444, filed March 20, 2001, the entire disclosure of which is hereby incorporated by reference.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

To the extent necessary, the applicants petition for an extension of time under 37 CFR 1.136. Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus, Deposit Account No. 01-2135 (500.39879VX1).

Respectfully submitted,

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	Not assigned yet	
			Filing Date	On even date herewith	
			First Named Inventor	T. KOBAYASHI	
			Art Unit	Not assigned yet	
Examiner Name	Not assigned yet				
Attorney Docket Number	500.39879VX1				
Sheet	1	of	1		

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		6,259,133 B1		07-2001	Gardner et al.	
		6,327,182 B1		12-2001	Shum et al.	
		6,410,387 B1		06-2002	Cappelletti et al.	
		6,143,608		11-2000	He et al.	

FOREIGN PATENT DOCUMENTS							
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
		JP A 10 242310					

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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